

Attorney Docket No.: 5539.200-US

PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Spendler et al.

Serial No.: 09/286,037

Group Art Unit: 1761

Filed: April 5, 1999

Examiner: TBA

For: Preparation Of Dough And Baked Products

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

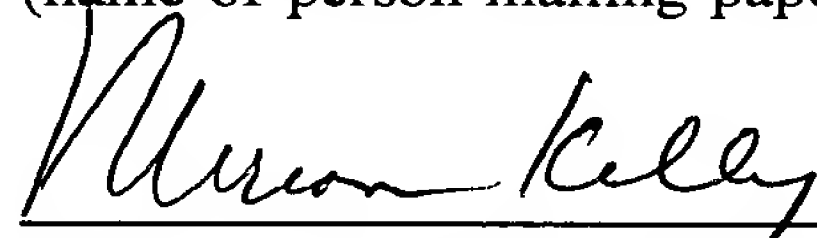
1. Response to Notice to File Missing Parts (in duplicate)
2. Copy of Notice to File Missing Parts
3. Executed Combined Declaration and Power of Attorney

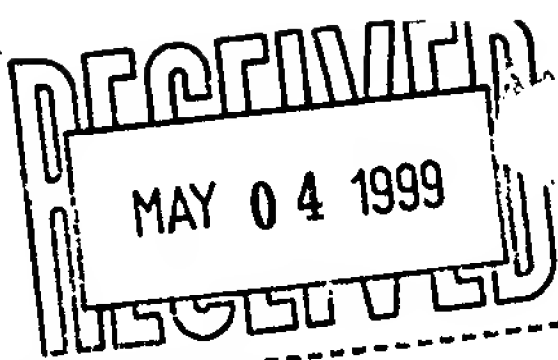
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
Washington, DC 20231

on July 30, 1999.

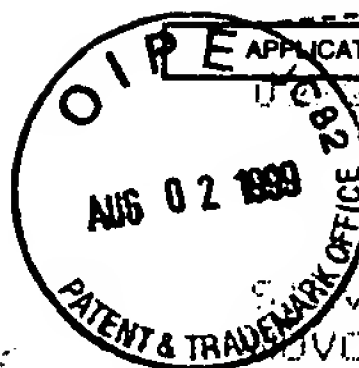
Miriam Kelly  
(name of person mailing paper)

  
(signature of person mailing paper)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

105



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09286,037	04/05/99	SPENDLER	T 5539,200-US

SHLOVE T ZELSON  
NORDISK OF NORTH AMERICA INC  
405 LEXINGTON AVENUE STE 6400  
NEW YORK NY 10174-6400

0262/0430

DOCKET (check off <input checked="" type="checkbox"/> )
ATTY: _____
DATE MAILED: _____

NOT ASSIGNED

04/06/99

Wider dkt.

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:
- \$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.
- \$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
  - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

T. Wilson  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

U.S. GPO: 1998-448-824

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09286037  
141447  
00000061  
08/03/1999 MHAPPY  
FC:105  
130.00 CH



Attorney Docket No.: 5539.200-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Spendler et al.

Serial No.: 09/286,037

Group Art Unit: 1761

Filed: April 5, 1999

Examiner: TBA

For: Preparation Of Dough And Baked Products

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

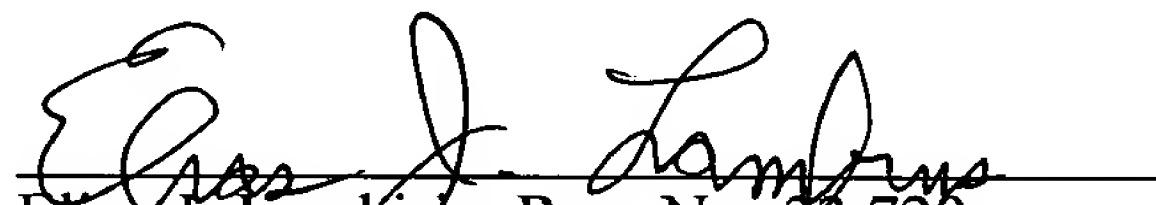
In response to the Notice to File Missing Parts dated April 30, 1999 (a copy thereof is attached hereto), Applicants submit the Combined Declaration and Power of Attorney signed and dated by Applicants for the above-captioned application.

It is respectfully requested that the time for response to the Notice to File Missing Parts be extended for a period of one month from June 30, 1999 to July 30, 1999. The required fee for the extension is estimated to be \$110.

Please charge the required fee, estimated to be \$240.00, to Novo Nordisk of North America, Inc., Deposit Account No. 14-1447. Please credit any overpayment to Deposit Account No. 14-1447. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: July 30, 1999

  
Elias J. Lambiris, Reg. No. 33,728  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123

08/03/1999 WHAPPY 00000061 141447 09286037  
02 FC:115 110.00 CH

Attorney Docket No.: 5539.200-US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Spendler et al.

Serial No.: 09/286,037

Group Art Unit: 1761

Filed: April 5, 1999

Examiner: TBA

For: Preparation Of Dough And Baked Products

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicant filed the above-captioned application on April 5, 1999.

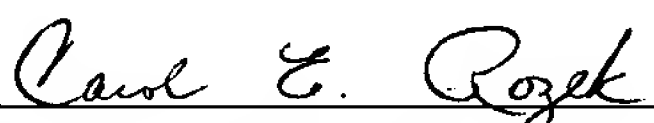
The filing receipt received by Applicant incorrectly indicated that the country of residence is **SWEDEN**. A copy of the filing receipt is attached to this request.

Applicant therefore requests the issuance of a corrected filing receipt with country of residence revised to **SWITZERLAND**.

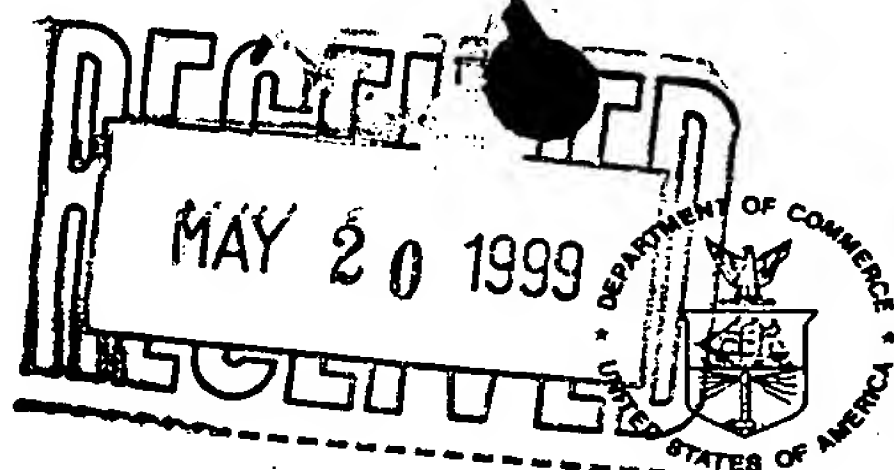
Applicant submits that the error was the fault of the USPTO. Therefore, a fee for this service is not required.

Respectfully submitted,

Date: May 25, 1999

  
\_\_\_\_\_  
Carol E. Rozek, Reg. No. 36,993  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/286,037	04/05/99	1761	\$838.00	5539.200-US	0	18	4

STEVE T ZELSON  
NOVO NORDISK OF NORTH AMERICA INC  
405 LEXINGTON AVENUE STE 6400  
NEW YORK NY 10174-6400

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

TINA SPENDLER, HERLEV, DENMARK; LONE NILSSON, BINNINGEN, SWEDEN; CLAUS CRONE FUGLSANG, NIVA, DENMARK.

FOREIGN APPLICATIONS-

DENMARK  
U.S. ARMY

0543/98  
60083277

04/20/98  
04/28/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/29/99

TITLE

PREPARATION OF DOUGH AND BAKED PRODUCTS

PRELIMINARY CLASS: 426

*Switzerland*

DATA ENTRY BY: WILSON, TAMMY

TEAM: 05 DATE: 04/29/99



(see reverse)